

FORM NO. MGT – 9
EXTRACT OF ANNUAL RETURN
As on the financial year ended 31st March, 2019
[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the
Companies (Management and Administration) Rules, 2014]

I. Registration and other details	
CIN	L99999MH1985PLC036391
Registration Date	29 th May 1985
Name of the Company	Nivi Trading Limited
Category / Sub-Category of the Company	Company having Share Capital
Address of the Registered Office and contact details	C/o United Phosphorus Limited, Readymoney Terrace, 4 th Floor, Dr. A. B. Road, Worli Naka, Mumbai- 400018. Tel.: 022-61233500/26468000
Whether listed Company	Yes
Name, address and contact details of Registrar and Transfer Agent, if any	Link Intime India Private Limited (CIN – U67190MH1999PTC118368) Office: C 101, 247 Park, L B S Marg Vikhroli (West), Mumbai – 400 083 Maharashtra. Tel.No.: +91 022 49186000 Fax.No.: +91 022 49186060

II. Principal Business Activities of the Company		
All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:		
Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
Trading	46691	0

III. Particulars of Holding, Subsidiary and Associate Companies				
Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
N.A	N.A	N.A	N.A	N.A

IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)										
I) Category-wise Shareholding										
Category of Shareholders	No. of Shares held at the beginning of the year (As on 01/04/2018)				No. of Shares held at the end of the year (As on 31/03/2019)				% Change during the year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
(A) Shareholding of Promoter and Promoter Group										
(1) Indian										
(a)	Individuals/H.U.F	150000	0	150000	12.042	150000	0	150000	12.042	0.00
(b)	Central/State Government(s)	0	0	0	0	0	0	0	0.00	0.00
(c)	Bodies Corporate	433000	0	433000	34.762	433000	0	433000	34.762	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0	0	0	0.00	0.00
(e)	Any Other (specify)	200000	0	200000	16.056	200000	0	200000	16.056	0.00
	Sub-Total (A)(1)	783000	0	783000	62.861	783000	0	783000	62.861	0.00
(2) Foreign										
(a)	Non Resident Individuals/ Foreign Nationals	150000	0	150000	12.042	150000	0	150000	12.042	0.00
(b)	Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
(c)	Institutions	0	0	0	0.00	0	0	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
(e)	Any Other (specify)	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (A)(2)	150000	0	150000	12.042	150000	0	150000	12.042	0.00
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	933000	0	933000	74.904	933000	0	933000	74.904	0.00

(B)	Public Shareholding³									
(1)	Institutions									
(a)	Mutual Fund/UTI	0	0	0	0.00	0	0	0	0.00	0.00
(b)	Financial Institutions/Banks	0	0	0	0.00	0	0	0	0.00	0.00
(c)	Central/State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
(e)	Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0	0	0	0.00	0.00
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0	0	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
(i)	Any Other (specify)	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (B)(1)	0	0	0	0.00	0	0	0	0.00	0.00
(2)	Non Institutions									
(a)	Bodies Corporate	0	50000	50000	4.014	0	50000	50000	4.014	0.00
(b)	Individuals									
	i) Holding nominal share capital upto Rs.1 lakh	0	0	0	0.00	0	0	0	0.00	0.00
	ii) Holding nominal share capital in excess of Rs.1 lakh.	0	262600	262600	21.082	0	262600	262600	21.082	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
(d)	Any Other(specify)	0	0	0	0.00	0	0	0	0.00	0.00
	Non Resident Individuals	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (B)(2)	0	312600	312600	25.096	0	312600	312600	25.096	0.00
	Total Public shareholding (B)=(B)(1)+(B)(2)	0	312600	312600	25.096	0	312600	312600	25.096	0.00
	TOTAL (A)+(B)	933000	312600	1245600	100.00	933000	312600	1245600	100.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued									
1	Promoter and Promoter Group	0	0	0	0	0	0	0	0	0
2	Public	0	0	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	933000	312600	1245600	100.00	933000	312600	1245600	100.00	0.00

ii) Shareholding of Promoters

Shareholders Name		Shareholding at the beginning of the year (As on 01/04/2018)			Shareholding at the end of the year (As on 31/03/2019)			
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	% change in shareholding during the year
1	RAJNIKANT D. SHROFF	50000	4.014	-	50000	4.014	-	-
2	SANDRA R. SHROFF	50000	4.014	-	50000	4.014	-	-
3	SHILPA SAGAR/SANDRA SHROFF	50000	4.014	-	50000	4.014	-	-
4	JAIDEV R. SHROFF	75000	6.021	-	75000	6.021	-	-
5	VIKRAM R. SHROFF	75000	6.021	-	75000	6.021	-	-
6	BIPIN N. JANI/NAVIN C. ASHAR	50000	4.014	-	50000	4.014		
7	RAJJU D. SHROFF/SANDRA SHROFF/ BLOOM PACKAGING PVT. LTD.	25000	2.007	-	25000	2.007	-	-
8	RAJJU D. SHROFF/JAI SHROFF/ SHILPA SHROFF	25000	2.007	-	25000	2.007		
9	SANDRA SHROFF/JAIDEV SHROFF	50000	4.014	-	50000	4.014		
10	RAJJU D. SHROFF/SANDRA SHROFF/SHILPA SHROFF	50000	4.014	-	50000	4.014		
11	BLOOM PACKAGING PVT. LTD.	187000	15.013	-	187000	15.013	-	-
12	DEMURIC HOLDINGS PVT. LTD.	50000	4.014	-	50000	4.014	-	-
13	UPL LTD.	50000	4.014	-	50000	4.014	-	-
14	ESTHETIC FINVEST PVT. LTD.	50000	4.014	-	50000	4.014	-	-
15	SHATATARAKA HOLDINGS PVT. LTD.	46000	3.693	-	46000	3.693	-	-
16	R. SHROFF CONSULTANTS PVT. LTD.	50000	4.014	-	50000	4.014		
	Total	933000	74.904	-	933000	74.904	-	-

iii) Change in Promoters' Shareholding (Please specify, if there is no change)

Sr. No.	Name of shareholder	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of shares at the beginning (01/04/2018) / end of the year 31/03/2019)	% of total shares of the Company				No. of shares	% of total shares of the Company
1	RAJNIKANT D. SHROFF	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
2	SANDRA R. SHROFF	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
3	SHILPA SAGAR/SANDRA SHROFF	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
4	JAIDEV SHROFF	75000	6.021	01/04/2018	-	NIL movement during the year	75000	6.021
		75000	6.021	31/03/2019				
5	VIKRAM SHROFF	75000	6.021	01/04/2018	-	NIL movement during the year	75000	6.021
		75000	6.021	31/03/2019				
6	BIPIN N. JANI/NAVIN C. ASHAR	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
7	RAJU D. SHROFF/SANDRA SHROFF/BLOOM PACKAGING PVT. LTD.	25000	2.007	01/04/2018	-	NIL movement during the year	25000	2.007
		25000	2.007	31/03/2019				
8	RAJU D. SHROFF/JAI SHROFF/SHILPA SHROFF	25000	2.007	01/04/2018	-	NIL movement during the year	25000	2.007
		25000	2.007	31/03/2019				
9	SANDRA R.SHROFF/JAIDEV R. SHROFF	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
10	RAJU D. SHROFF/SANDRA SHROFF /SHILPA SHROFF	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
11	BLOOM PACKAGING PVT. LTD.	187000	15.013	01/04/2018	-	NIL movement	187000	15.013

						during the year		
		187000	15.013	31/03/2019				
12	DEMURIC HOLDINGS PVT. LTD.	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
13	UPL LIMITED (formerly United Phosphorus Ltd.)	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
14	ESTHETIC FINVEST PVT. LTD.	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
15	SHATATARAKA HOLDINGS PVT. LTD.	46000	3.693	01/04/2018	-	NIL movement during the year	46000	3.693
		46000	3.693	31/03/2019				
16	R.SHROFF CONSULTANTS PVT. LTD.	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				

iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Sr. No.	Name of shareholder	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of shares at the beginning (01/04/2018) / end of the year 31/03/2019)	% of total shares of the Company				No. of shares	% of total shares of the Company
1	VENTURA GUARANTY LTD.	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
2	KANTI THACKER	47000	3.773	01/04/2018	-	NIL movement during the year	47000	3.773
		47000	3.773	31/03/2019				
3	SALIM GOVANI	25000	2.007	01/04/2018	-	NIL movement during the year	25000	2.007
		25000	2.007	31/03/2019				
4	ABID NAGARIA	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
5	NIKHIL GANDHI	23500	1.887	01/04/2018	-	NIL movement	23500	1.887

						during the year		
		23500	1.887	31/03/2019				
6	JAWAHAR THACKER	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
7	BRUBECK DIAS	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
8	KARTIK BHATIA	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
9	BIPIN JANI	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
10	SANJEEV TRIVEDI	13000	1.042	01/04/2018	-	NIL movement during the year	13000	1.042
		13000	1.042	31/03/2019				

v). Shareholding of Directors and Key Managerial Personnel

Sr. No.	Name of shareholder	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of shares at the beginning (01/04/2018) / end of the year 31/03/2019)	% of total shares of the Company				No. of shares	% of total shares of the Company
A. DIRECTORS:								
1	RAJNIKANT D. SHROFF PROMOTER AND DIRECTOR	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
2	JAIDEV R. SHROFF PROMOTER AND DIRECTOR	75000	6.021	01/04/2018	-	NIL movement during the year	75000	6.021
		75000	6.021	31/03/2019				
3	SANDRA R. SHROFF PROMOTER AND MANAGING DIRECTOR	50000	4.014	01/04/2018	-	NIL movement during the year	50000	4.014
		50000	4.014	31/03/2019				
4	NITIN A. KOLHATKAR	0.00	0.00	01/04/2018	-	NIL Holding and	0	0.00

	INDEPENDENT DIRECTOR	0.00	0.00	31/03/2019		NIL movement during the year		
5	RAHUL J. RANGNATH INDEPENDENT DIRECTOR	0.00	0.00	01/04/2018	-	NIL Holding and NIL movement during the year	0	0.00
		0.00	0.00	31/03/2019				
B. KEY MANAGER PERSONNEL:								
1	BRUBECK P. DIAS- CHIEF FINANCIAL OFFICER	23500	1.887	01/04/2018	-	NIL movement during the year	23500	1.887
		23500	1.887	31/03/2019				
2	NIKIITHA NAIR- COMPANY SECRETARY (appointed w.e.f. 7/11/2017)	0.00	0.00	01/04/2018	-	NIL Holding and NIL movement during the year	0	0.00
		0.00	0.00	31/03/2019				

V. INDEBTEDNESS				
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i + ii + iii)	-	-	-	-
Change in Indebtedness during the financial year				
Addition	-	-	-	-
Reduction	-	-	-	-
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ ii + iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL			
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:			
Sr. No.	Particulars of Remuneration	Name of MD / WTD / Manager Sandra Rajnikant Shroff - MD	Total Amount
		Nil	
1	Gross Salary	Nil	Nil
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	Nil	Nil
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil	Nil
2	Stock Options	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission	Nil	Nil
	- as % of profit	Nil	Nil
	- others, specify	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	Nil	Nil
	Ceiling as per the Act		

B. Remuneration to other Directors

1. Independent Directors

Particulars of Remuneration	Name of Director		Total Amount
	Mr. Rahul R. Jadhav (DIN 06955337)	Mr. Nitin A. Kolhatkar (DIN 03246005)	
- Fee for attending Board/ Committee Meetings	N.A	N.A	N.A
- Commission	N.A	N.A	N.A
- Others, please specify	N.A	N.A	N.A
Total (B) (1)	N.A	N.A	N.A

2. Other Non Executive Directors

Particulars of Remuneration	Name of Director		Total Amount
	Rajnikant Devidas Shroff (DIN-00180810)	Jaidev Rajnikant Shroff (DIN-00191050)	
- Fee for attending Board/ Committee Meetings	N.A	N.A	N.A
- Commission	Nil	Nil	Nil
- Others, please specify	Nil	Nil	Nil
Total (B) (2)	Nil	Nil	Nil
Total (B) = (B)(1) + (B)(2)	Nil	Nil	Nil
Total Managerial Remuneration	N.A	N.A	N.A
Overall Ceiling as per the Act	Nil	Nil	Nil

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTDS

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Nikitha Nair – Company Secretary	Brubeck P. Dias - CFO	Total Amount
1	Gross Salary	N.A	N.A	N.A
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	N.A	N.A	N.A
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	N.A	N.A	N.A
	(c) Profit in lieu of salary under Section 17(3) Income Tax Act, 1961	N.A	N.A	N.A
2	Stock Options	N.A	N.A	N.A
3	Sweat Equity	N.A	N.A	N.A
4	Commission	N.A	N.A	N.A
	- as % of profit	N.A	N.A	N.A
	- others, specify	N.A	N.A	N.A
5	Others, please specify – Retrials	N.A	N.A	N.A
	Total (C)	N.A	N.A	N.A

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD/NCLT/COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	N.A.	N.A.	NIL	N.A.	N.A.
Punishment	N.A.	N.A.	NIL	N.A.	N.A.
Compounding	N.A.	N.A.	NIL	N.A.	N.A.
B. DIRECTORS					
Penalty	N.A.	N.A.	NIL	N.A.	N.A.
Punishment	N.A.	N.A.	NIL	N.A.	N.A.
Compounding	N.A.	N.A.	NIL	N.A.	N.A.
C. OTHER OFFICERS IN DEFAULT					
Penalty	N.A.	N.A.	NIL	N.A.	N.A.
Punishment	N.A.	N.A.	NIL	N.A.	N.A.
Compounding	N.A.	N.A.	NIL	N.A.	N.A.